



STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

REGULARLY SCHEDULED MEETING AGENDA
Oklahoma Securities Commission
204 North Robinson, Suite 400 Conference Room
Oklahoma City, Oklahoma

November 16, 2017

10:00 a.m.

- I. Call to Order
- II. Acceptance of Minutes for the September 21, 2017 regularly scheduled meeting
- III. Old Business
- IV. Administrator's Report
 - A. Presentation and discussion of the financial report through October 31, 2017
 - B. Presentation and discussion of the reports on the enforcement activities of the Department during the months of September and October 2017
 - C. Presentation and discussion of the reports on the securities registration and exemption activities of the Department as of October 31, 2017
 - D. Presentation and discussion of the reports on the firms and professionals registration activities of the Department during the months of September and October 2017
 - E. Presentation and discussion of the reports on the on-site examinations for the months of September and October 2017
 - F. Presentation and discussion of investor education activities
 - G. Presentation and discussion of proposed, pending or final state and/or federal legislation or policies affecting operations of the Department of Securities
- V. Presentation and discussion of the OMES Purchase Card Audit Report
- VI. New Business
- VII. Adjournment