



STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES

**REGULARLY SCHEDULED MEETING AGENDA**  
**Oklahoma Securities Commission**  
**204 North Robinson, Suite 400 Conference Room**  
**Oklahoma City, Oklahoma**

**January 18, 2018**

10:00 a.m.

- I. Call to Order
- II. Acceptance of Minutes for the November 16, 2017 regularly scheduled meeting
- III. Presentation, discussion and vote to accept Fiscal Year 2017 Financial Statements and Independent Auditor's Report
- IV. Old Business
- V. Administrator's Report
  - A. Presentation and discussion of the financial report through December 31, 2017
  - B. Presentation and discussion of the reports on the enforcement activities of the Department during the months of November and December 2017
  - C. Presentation and discussion of the reports on the securities registration and exemption activities of the Department as of December 31, 2017
  - D. Presentation and discussion of the reports on the firms and professionals registration activities of the Department during the months of November and December 2017
  - E. Presentation and discussion of the reports on the on-site examinations for the months of November and December 2017
  - F. Presentation and discussion of investor education activities
  - G. Presentation and discussion of proposed, pending or final state and/or federal legislation or policies affecting operations of the Department of Securities
- VI. Presentation and discussion of proposal to host the IPT Forum on Investor Education and Protection in September 2018 in Oklahoma City

VII. New Business

VIII. Adjournment