IRVING L. FAUGHT
ADMINISTRATOR

MARY FALLIN
GOVERNOR

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

MEMORANDUM

To: ***Irving L. Faught, Administrator***

From: ***Ken Maillard, Director of Registrations***

Date: ***MARCH 18, 2013***

Re: ***REGISTRATIONS & EXEMPTIONS REPORT***

Attached hereto please find the report of the activities of the registration and exemption section as of February 28, 2013.

Attachment

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recycled paper

Oklahoma Department of Securities

Registrations Division Summary of Applications Processed

Between 7/1/2012 and 2/28/2013

Application Type	Total Processed	Total with Deficiencies /Comments	% of Total Processed to Total with Deficiencies	Total Granted/ Registered	Total Granted/ Registered After Correcting Deficiency	% of Total Granted/ Registered After Correcting Deficiency to Total with Deficiencies	Withdrawn Because of Deficiency	Denied Because of Deficiency
Business Opportunity Reg., Initial	1	0	0%	0	0	0%	0	0
Coordination, Initial	20	12	60%	1	1	8%	0	0
No Action/Interpretive Opinion	1	0	0%	0	0	0%	0	0
Qualification, Initial	0	0	0%	0	0	0%	0	0
Exemptions	79	9	11%	27	4	44%	2	0
Reg. D Filings (506 only)	392	19	5%	385	13	68%	0	0
Subdivided Land Sales	0	0	0%	0	0	0%	0	0
Public Trust Filings	101	0	0%	56	0	0%	0	0
Totals:	**594**	**40**	**7%**	**469**	**18**	**45%**	**2**	**0**