IRVING L. FAUGHT
ADMINISTRATOR

MARY FALLIN
GOVERNOR

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

MEETING AGENDA
Oklahoma Securities Commission
The First National Center
120 North Robinson, Eighth Floor Conference Room
Oklahoma City, Oklahoma

May 16, 2013

10:00 a.m.

I. Call to order

II. Acceptance of minutes for the March 21, 2013 meeting

III. Old Business

IV. Administrator's Report

A. Presentation and discussion of the financial report through April 30, 2013

B. Presentation and discussion of the reports on the enforcement activities of the Department during the months of March and April 2013

C. Presentation and discussion of the report on the securities registration and exemption activities of the Department as of April 30, 2013

D. Presentation and discussion of the report on the firms and professionals registration activities of the Department during the months of March and April 2013

E. Presentation and discussion of the reports on the on-site examinations for the months of March and April 2013

F. Presentation and discussion of investor education activities

G. Presentation and discussion of state and/or federal legislation or policies affecting operations of the Department of Securities

V. Election of Chairperson and Vice Chairperson for one-year term commencing July 1, 2013

VI. New Business

VII. Adjournment

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