

MINUTES OF THE MEETING
OF THE
OKLAHOMA SECURITIES COMMISSION

March 21, 2013

Pursuant to the previously announced date and time, the regularly scheduled meeting of the Oklahoma Securities Commission (Commission) convened at 10:00 a.m., March 21, 2013, in the conference room of the Oklahoma Department of Securities, Oklahoma City, Oklahoma. The following members were present:

Charles E. Newton, Chairperson
Nancy Hyde, Member
Robert M. Neville, Member
P. David Newsome, Jr., Member

Mick Thompson, Ex-Officio Member, was not in attendance.

The following persons were also present:

Irving L. Faught, Administrator, Department of Securities
Melanie Hall, Deputy Administrator, Department of Securities
Faye Morton, General Counsel, Department of Securities

Mr. Newton officially opened the meeting. Notice of the date, time, and place of the meeting was given in accordance with applicable laws and rules.

The minutes of the January 17, 2013 meeting were accepted as presented.

There was no Old Business.

The Administrator and Deputy Administrator presented the activities of the Department for the months of January 2013 and February 2013, as contained in the written reports distributed to the Commissioners and incorporated herein by reference.

Faye Morton reported on pending rulemaking. She highlighted 660:11-7-17, a new rule relating to investment advisers to private funds. Commissioner Newsome provided comments and encouraged Department staff to consider the provisions of a Connecticut order relating to the same subject before final adoption of the proposed rule by the Administrator.

There was no New Business.

The meeting was adjourned.