



STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES

MEETING AGENDA  
Oklahoma Securities Commission  
The First National Center  
120 North Robinson, Eighth Floor Conference Room  
Oklahoma City, Oklahoma

July 18, 2013

10:00 a.m.

- I. Call to order
- II. Acceptance of minutes for the May 16, 2013 meeting
- III. Old Business
- IV. Administrator's Report
  - A. Presentation and discussion of the financial report through June 30, 2013
  - B. Presentation and discussion of the reports on the enforcement activities of the Department during the months of May and June 2013
  - C. Presentation and discussion of the report on the securities registration and exemption activities of the Department as of June 30, 2013
  - D. Presentation and discussion of the report on the firms and professionals registration activities of the Department during the months of May and June 2013
  - E. Presentation and discussion of the reports on the on-site examinations for the months of May and June 2013
  - F. Presentation and discussion of investor education activities
  - G. Presentation and discussion of state and/or federal legislation or policies affecting operations of the Department of Securities, to include Enrolled House Bill 1717
- V. New Business
- VI. Adjournment