IRVING L. FAUGHT
ADMINISTRATOR

MARY FALLIN
GOVERNOR

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

# REGULAR MEETING AGENDA
**Oklahoma Securities Commission**
**The First National Center**
**120 North Robinson, Eighth Floor Conference Room**
**Oklahoma City, Oklahoma**

**January 16, 2014**

10:00 a.m.

I. Call to order

II. Acceptance of minutes for the November 19, 2013 meeting

III. Old Business

IV. Administrator's Report

A. Presentation and discussion of the financial report through December 31, 2013

B. Presentation and discussion of the reports on the enforcement activities of the Department during the months of November and December 2013

C. Presentation and discussion of the report on the securities registration and exemption activities of the Department as of December 31, 2013

D. Presentation and discussion of the report on the firms and professionals registration activities of the Department during the months of November and December 2013

E. Presentation and discussion of the reports on the on-site examinations for the months of November and December 2013

F. Presentation and discussion of investor education activities

G. Presentation and discussion of state and/or federal legislation or policies affecting operations of the Department of Securities

V. New Business

VI. Adjournment

FIRST NATIONAL CENTER, SUITE 860 • 120 NORTH ROBINSON • OKLAHOMA CITY, OK 73102 • (405) 280-7700 • FAX (405) 280-7742
http://www.securities.ok.gov

recycled paper