



STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

REGULAR MEETING AGENDA
Oklahoma Securities Commission
The First National Center
120 North Robinson, Eighth Floor Conference Room
Oklahoma City, Oklahoma

January 15, 2015

10:00 a.m.

- I. Call to Order
- II. Acceptance of Minutes for the November 20, 2014 regular meeting
- III. Acceptance of Minutes for the December 18, 2014 special meeting
- IV. Old Business
- V. Administrator's Report
 - A. Presentation and discussion of the financial report through December 31, 2014
 - B. Presentation and discussion of the report on the enforcement activities of the Department during the months of November and December 2014
 - C. Presentation and discussion of the report on the securities registration and exemption activities of the Department as of December 31, 2014
 - D. Presentation and discussion of the reports on the firms and professionals registration activities of the Department during the months of November and December 2014
 - E. Presentation and discussion of the reports on the on-site examinations for the months of November and December 2014
 - F. Presentation and discussion of investor education activities
 - G. Presentation and discussion of state and/or federal legislation or policies affecting operations of the Department of Securities
- VI. New Business
- VII. Adjournment