IRVING L. FAUGHT
ADMINISTRATOR

MARY FALLIN
GOVERNOR

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

REGULARLY SCHEDULED MEETING AGENDA
Oklahoma Securities Commission
204 North Robinson, Suite 400 Conference Room
Oklahoma City, Oklahoma

May 19, 2016

10:00 a.m.

I. Call to Order

II. Acceptance of Minutes for the March 17, 2016 regularly scheduled meeting

III. Old Business

IV. Administrator's Report

A. Presentation and discussion of the financial report through April 30, 2016

B. Presentation and discussion of the report on the enforcement activities of the Department during the months of March and April 2016

C. Presentation and discussion of the report on the securities registration and exemption activities of the Department as of April 30, 2016

D. Presentation and discussion of the reports on the firms and professionals registration activities of the Department during the months of March and April 2016

E. Presentation and discussion of the report on the on-site examinations for the months of March and April 2016

F. Presentation and discussion of investor education activities

G. Presentation and discussion of state and/or federal legislation or policies affecting operations of the Department of Securities

V. Election of Chairperson and Vice-Chairperson for one-year term beginning July 1, 2016

VI. New Business

VII. Adjournment

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