

IN THE DISTRICT COURT OF OKLAHOMA COUNTY, OKLA.
STATE OF OKLAHOMA

FILED IN THE DISTRICT COURT
OKLAHOMA COUNTY, OKLA.

MAR 24 2009

PATRICIA PRESLEY, COURT CLERK
by _____
DEPUTY

Oklahoma Department of Securities)
ex rel. Irving L. Faught,)
Administrator,)
)
Plaintiff,)

v.)

Case No.

CJ - 2009 - 2773

Global West Funding, Ltd., Co.,)
an Oklahoma limited liability company;)
Global West Financial LLC,)
an Oklahoma limited liability company;)
Sure Lock Financial, LLC,)
an Oklahoma limited liability company;)
Sure Lock Loans LLC, an Oklahoma)
limited liability company;)
The Wave-Goldmade, Ltd.,)
an unincorporated association;)
Brian McKye, an individual;)
Joe Don Johnson, an individual; and)
James Farnham, an individual,)

Defendants,)

and)

Heritage Estate Service LLC,)
an Oklahoma limited liability company,)

Relief Defendant.)

**TEMPORARY RESTRAINING ORDER, ORDER FREEZING ASSETS
AND ORDER FOR ACCOUNTING**

This matter came on for hearing this 24th day of March, 2009, before the undersigned Judge of the District Court in and for Oklahoma County, State of Oklahoma, upon the verified *Petition for Permanent Injunction and Other Equitable Relief* of the Plaintiff (“Petition”), and the application for a temporary restraining order, an order freezing assets, and

an order for an accounting, pursuant to the Oklahoma Uniform Securities Act of 2004 (the "Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (Supp. 2003).

It appears to this Court from the facts alleged in Plaintiff's verified Petition that Plaintiff is entitled to the relief requested; it further appears that the public will suffer irreparable damage and injury unless the Defendants, their officers, directors, agents, and other individuals acting on their behalf and under their direction and control, are restrained forthwith.

It furthers appears to the Court that if the issuance of this temporary restraining order, order freezing assets, and order for accounting is delayed, there is a strong likelihood that investor funds may be lost to the detriment of those investors. The irreparable injury to be suffered by Plaintiff is the continued violations of the Act by Defendants if not temporarily restrained.

IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Defendants, their agents, servants, employees, assigns, and those persons acting on their behalf, under their direction and control and/or in active concert or participation with them who receive actual notice of this Order, by personal service, facsimile or otherwise, be and hereby are, and until further notice of this Court, restrained from offering or selling any security in and/or from this state including, but not limited to, investment notes.

IT IS FURTHER ORDERED that Defendants, Relief Defendant, their agents, servants, employees, assigns and all those persons, directly or indirectly, acting on their behalf, under their direction and control, and/or in active concert or participation with them who receive actual notice of this order, by personal service, facsimile or otherwise, be and hereby are, and until further notice of this Court, restrained from tampering with, mutilating, altering, erasing, concealing, removing, destroying or otherwise disposing of any and all books, records,

documents, files, correspondence, computer disks, tapes or other data recordings of any type, pertaining to or referring to Defendants, or any transactions relating to investor funds, investment notes or other securities, or trust financing.

IT IS FURTHER ORDERED that pending determination of the motion for temporary injunction, the assets of Defendants and the Relief Defendant be, and hereby are, frozen (“Assets”). The freeze shall include, but not be limited to, any funds located in any bank, depository institution, brokerage firm, or internet securities or other financial institution account. It shall also apply to accounts in the name of any individuals or entities controlled by Defendants and/or the Relief Defendant, or accounts over which Defendants have signatory or other designated authority. All banks, depository institutions, internet service providers, securities firms, or other institutions or persons served with a copy of this Order shall cooperate with the Oklahoma Department of Securities (“Department”) relating to implementation of this Order, including imposing a freeze on all Assets, including accounts and funds, and producing records relating thereto. Facsimile transmission shall constitute service on the banks, depository institutions, internet service providers, securities firms, or other institutions or persons.

IT IS FURTHER ORDERED that Defendants, Relief Defendant, their agents, servants, employees, assigns and all those persons, directly or indirectly, acting on their behalf, under their direction and control, and/or in active concert or participation with them, who receive actual notice of the order, by personal service, facsimile or otherwise, and each of them are prohibited from directly or indirectly, transferring, withdrawing, concealing, removing, destroying, or otherwise disposing of any and all Assets.

IT IS FURTHER ORDERED that all persons and entities, including Defendants, Relief Defendants, their subsidiaries, affiliates, officers, directors, agents, servants, employees,

attorneys, and all persons acting on their behalf, under their direction and control, and/or in active concert or participation with them, including any banks, depository or financial institutions, wherever chartered or located, securities firms or companies, internet service providers or companies, federal and state agencies, or other institutions or persons who receive actual notice of this order, by personal service, facsimile transmission or otherwise, shall promptly deliver and surrender to the Department:

1. all records of the Defendants including, but not limited to, books, records, tapes, discs, accounting data, checks, correspondence, forms, advertisements, brochures, manuals, electronically stored data, bank records, customer and investor lists, customer and investor files, telephone records, ledgers, payroll records, to include such information stored in computer maintained form; and
2. all keys and codes necessary to gain or to secure access to any Assets or documents of the Defendants including, but not limited to, access to the premises where all records of the Defendants are maintained, means of communication, accounts, computer systems, or other assets or property, wherever located.

IT IS FURTHER ORDERED that Defendants and the Relief Defendant allow representatives of the Department access to any and all documents relating to the sales of securities, and to the business of Defendants, their subsidiaries, officers, directors, agents, servants, employees, assigns, attorneys, and all persons acting on their behalf, under their direction and control, and/or in active concert or participation with them, including, but not limited to, books, records, tapes, discs, accounting data, checks, correspondence, forms,

advertisements, brochures, manuals, electronically stored data, bank records, customer and investor lists, customer and investor files, telephone records, ledgers, payroll records, to include such information stored in computer maintained form.

IT IS FURTHER ORDERED that Defendants and the Relief Defendant file with this Court and serve on the Plaintiff an accounting, under oath, detailing all of their assets and detailing all funds received from investors, clients, and/or other Defendants, and the disposition and/or use of those funds received pursuant to the scheme described in the Plaintiff's Petition. This accounting shall include, but not be limited to, the total amount received from investors, clients, and/or other Defendants, the name and address of each investor, client and/or other Defendant, any amount invested or received, the date each such investment was made or money received, and a listing of all expenditures made showing the amount and to whom paid and the date of payment. This accounting shall be submitted by Defendants and the Relief Defendant to this Court and served upon Plaintiff within fifteen (15) days from the date of entry of this Order.

IT IS FURTHER ORDERED that except by leave of Court during the pendency of this action, all creditors and other persons seeking money, damages or other relief from Defendants, Relief Defendant, and all others acting on behalf of any such creditor or other persons, including sheriffs, marshals, and other officers and their deputies, and their respective attorneys, servants, agents, and employees, are hereby stayed and restrained from doing any act or thing whatsoever to interfere in any manner during the pendency of this proceeding with the exclusive jurisdiction of this Court over Defendants. This Order shall not stay or restrain any pending or future action whatsoever by any government agency or any representative on behalf of any government.

IT IS FURTHER ORDERED that the Defendants and the Relief Defendant shall provide notice of this Order to each of their affiliates, successors, directors, officers, and each of their employees, salespersons, representatives and independent contractors.

IT IS FURTHER ORDERED that a hearing is hereby set at 10 : 00 A.m. on the 10th day of April, 2009, before the Honorable Noma Gurich of the Oklahoma County District Court, Oklahoma County Courthouse, Oklahoma City, Oklahoma, at which time the Defendants may seek the dissolution of this temporary restraining order, order freezing assets, and order for an accounting and the Plaintiff may seek a temporary injunction and other appropriate equitable relief.

THIS ORDER IS ENTERED this 24 day of March, 2009, at 11 : 55, A.m.

NOMA GURICH

DISTRICT COURT JUDGE

I, PATRICIA PRESLEY, Court Clerk for Oklahoma County, Okla., hereby certify that the foregoing is true, correct and complete copy of the instrument herewith set out as appears of record in the District Court Clerk's Office of Oklahoma County, Okla. this 24 day of March, 2009
PATRICIA PRESLEY, Court Clerk
by S. Sandback Deputy