

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
THE FIRST NATIONAL CENTER
120 NORTH ROBINSON, SUITE 860
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Geary Securities, Inc. *fka* Capital West Securities, Inc.;
Keith D. Geary; Norman Frager; and CEMP, LLC,

Respondents.

File No. 09-141

FINAL AMENDED SCHEDULING ORDER

This *Final Amended Scheduling Order* is issued pursuant to 660:2-9-3 of the Rules of the Oklahoma Securities Commission and the Administrator of the Oklahoma Department of Securities (as amended July 1, 2007) ("Rules"). The Department and Respondent Norman Frager (collectively, the "parties") have agreed to this scheduling order. The captioned matter has been resolved with respect to Respondents Geary Securities, Inc., Keith D. Geary, and CEMP, LLC.

IT IS HEREBY ORDERED that:

1. **Discovery.** Any discovery that is conducted shall comply with 660:2-9-3(b) of the Rules unless otherwise agreed to by the parties. Discovery, including depositions, must be completed/answered on or before **Monday, June 11, 2012, at 5:00 p.m.**
2. **Document and Exhibit Exchange.** On or before **Monday, June 11, 2012, at 5:00 p.m.**, the parties shall provide each other with a copy of all documents and exhibits intended to be utilized at hearing. Failure to comply with this paragraph will result in the exclusion of documents and exhibits at hearing.
3. **Hearing Briefs.** Hearing briefs, if requested by the Hearing Officer, shall be filed on or before **Tuesday, June 12, 2012, at 12:00 p.m.**
4. **Prehearing Conference.** Unless waived by agreement of the parties, or by order of the Hearing Officer, a prehearing conference shall be held by telephone on **Wednesday, June 13, 2012, at 10:00 a.m.**, to address the following matters:
 - (A) simplification of issues;
 - (B) the final list of witnesses and exhibits to be utilized at the hearing;
 - (C) admissions and stipulations of fact;
 - (D) stipulations regarding admission and authenticity of documents;

- (E) requests for official notice;
- (F) discovery disputes;
- (G) pending motions; and
- (H) other matters that will promote the orderly and prompt conduct of the hearing.

5. **Hearing Date.** The hearing will commence on **Monday, June 18, 2012, at 9:00 a.m.**, at the offices of the Oklahoma Department of Securities, 120 North Robinson Avenue, Suite 860, Oklahoma City, Oklahoma. The parties estimate that the hearing will take two (2) days.

6. **Filings and Service on Hearing Officer and Other Parties.** All documents required to be filed in connection with this matter shall be filed via email to blondon@securities.ok.gov or U.S. Mail or personal delivery to the Department to the attention of Brenda London. All filings shall be served, by the party making the filing, upon the Hearing Officer and counsel for all other parties via email before 5:00 p.m. CST on the date of the filing, and by U.S. Mail or personal delivery. Any other document served on an opposing party, shall be served via email and U.S. Mail or personal delivery. The effective date of service for filings and other documents is the date on which the serving party sends the filing or document via email to counsel for such other party.

7. **Failure to Comply.** Failure to comply with the terms of this Order may result in sanctions as set forth in 660:2-9-3(f) of the Rules including, but not limited to, default judgment.

IT IS FURTHER ORDERED that no date set by this Order can be changed except by agreement of all parties or for good cause and upon written order of the Hearing Officer.

WITNESS MY HAND and the Official Seal of the Oklahoma Department of Securities this 17 day of May, 2012.

(SEAL)



BRUCE R. KOHL, HEARING OFFICER