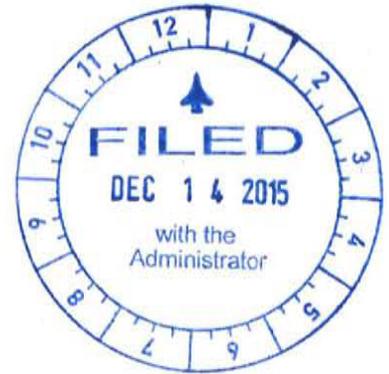


STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
204 NORTH ROBINSON, SUITE 400  
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Anthony Ray Stacy (CRD# 1772484), and  
PVPE, LLC (CRD# 139934),

Respondents.

ODS File No. 13-066

**ENFORCEMENT DIVISION'S FINAL WITNESS LIST**

The Enforcement Division of the Oklahoma Department of Securities ("Department") submits the following final witness list in the above-styled matter in compliance with the *Amended Agreed Scheduling Order* issued on October 30, 2015.

1. Anthony Ray Stacy ("Respondent") is expected to testify regarding matters set forth in the Enforcement Division Recommendation filed in this proceeding on August 18, 2015 ("Recommendation").

2. Paul Ross, [REDACTED], is expected to testify regarding matters set forth in the Recommendation.

3. Valerie Lynch, [REDACTED], is expected to testify regarding the activities, clients, offices, and documents of Respondent and the entities discussed in the Recommendation, and the title or role of persons acting on behalf of the entities discussed in the Recommendation.

4. Paula Durham and/or Peter S. Davis, Simon Consulting, LLC, [REDACTED] c/o Guttilla Murphy Anderson, P.C., 5415 East High Street, Suite 200, Phoenix, Arizona 85054, (480) 304-8300, is expected to testify regarding the activities and records of the persons subject to the receivership ordered in *Sonnenschein v. Palo Verde Capital, L.L.C.*, CV 2013-012420 (Maricopa Co.).

5. Carol Gruis, Director of Examinations and Licensing, Oklahoma Department of Securities, 204 North Robinson, Suite 400, Oklahoma City, Oklahoma 73102, (405) 280-7700, c/o Terra Bonnell, Attorney, Oklahoma Department of Securities, 204 North Robinson, Suite 400, Oklahoma City, Oklahoma 73102, (405) 280-7715, is expected to testify regarding relevant CRD and IARD records and brokerage account statements.

6. Brenda London, Paralegal, Oklahoma Department of Securities, 204 North Robinson, Suite 400, Oklahoma City, Oklahoma 73102, (405) 280-7700, c/o Terra Bonnell, Attorney, Oklahoma Department of Securities, 204 North Robinson, Suite 400, Oklahoma City, Oklahoma 73102, (405) 280-7715, is expected to testify regarding records of the Enforcement Division of the Oklahoma Department of Securities, as a custodian of the records.

7. Patricia Leonard, [REDACTED], is expected to testify regarding the matters set forth in paragraphs 79 through 93 of the Recommendation.

8. Lance Leonard, [REDACTED], is expected to testify regarding the representations made by Respondent in connection with the offer and sale of interests in the Palo Verde Fund, LP, to Patricia Leonard.

9. John R. Cassimus, [REDACTED], is expected to testify regarding the matters set forth in paragraphs 64 through 78 of the Recommendation.

10. Representative from RSM US, LLP (f/n/a "McGladrey, LLP"), 1 S Wacker Drive, Suite 800, Chicago, Illinois 60606, (312) 384-6000, is expected to testify regarding the Independent Auditor's Reports prepared for Palo Verde Fund, LP.

11. All witnesses necessary to rebut the testimony of any witness or a document or exhibit identified on Respondent's preliminary or final witness lists or exhibit lists or offered by Respondent at hearing.

12. All witnesses identified in the Respondent's preliminary and/or final list of witnesses or called at hearing by Respondent, to the extent such witnesses' testimony is not objected to by the Department.

Respectfully submitted,



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**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that on the 14th day of December, 2015, a true and correct copy of the above and foregoing document was emailed to Respondent at tstacy911@gmail.com and mailed with postage prepaid thereon, addressed to:

Anthony R. Stacy  
9225 East Western Saddle Way  
Scottsdale, AZ 85255

**Respondent**

  
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Terra Bonnell