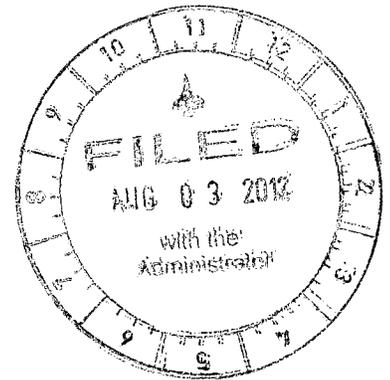


STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
FIRST NATIONAL CENTER  
120 NORTH ROBINSON, SUITE 860  
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Anthony L. Cross (CRD #3155726), and  
The O.N. Equity Sales Company (CRD #2936),

Respondents.

File No. 11-017

**DEPARTMENT'S FINAL LIST OF WITNESSES**

The Oklahoma Department of Securities ("Department") submits this final list of witnesses in the above-styled matter in compliance with the *Agreed Scheduling Order* issued on May 4, 2012.

**Witness List**

1. Theresa Hughes ("Customer TH"), [REDACTED] Oklahoma City, Oklahoma [REDACTED] is expected to testify regarding the allegations contained in the *Enforcement Division Recommendation* filed in this matter ("Recommendation") including, but not limited to, her financial situation, recommendations and advice she received from Anthony L. Cross, representations made by Anthony L. Cross, and her accounts at The O.N. Equity Sales Company ("ONESCO") and affiliated companies.

2. Anthony L. Cross ("Cross"), 708 West 15<sup>th</sup>, Suite 120, Edmond, Oklahoma 73013, c/o D. Michael O'Neil, Christensen Law Group, PLLC, 700 Oklahoma Tower, 210 Park Avenue, Oklahoma City, Oklahoma 73102-5605, (405) 232-2020, is expected to testify regarding the allegations contained in the Recommendation including, but not limited to, Cross' knowledge of Customer TH's financial situation, recommendations and advice given to Customer TH, activity in Customer TH's accounts at ONESCO and affiliated companies, books and records relating to Customer TH's accounts at ONESCO and affiliated companies, ONESCO's policies and procedures, ONESCO's supervision of Cross, and compensation paid to or for the benefit of Cross.

3. Beverly Cox, 708 West 15<sup>th</sup> Street, Suite 120, Edmond, Oklahoma 73013, (405) 348-0266, is expected to testify regarding office procedures and documents pertaining to Customer TH.

4. Sharyn Williams, [REDACTED] Anadarko, Oklahoma [REDACTED] and/or a current employee or representative of Spurr Mortgage, 233 East 10<sup>th</sup> Street, Edmond, Oklahoma 73034, (405) 348-9919, is expected to testify regarding the mortgage obtained by Customer TH in 2006 and the refinancing of that mortgage in 2009.

5. Jay Bley, Chief Compliance Officer, One Financial Way, Cincinnati, Ohio 45242, c/o Robert J. Carlson, GableGotwals, 1100 ONEOK Plaza, 100 West Fifth Street, Suite 1100, Tulsa, Oklahoma 74103-4217, (918) 595-4800, is expected to testify regarding the allegations contained in the Recommendation including, but not limited to, ONESCO's policies and procedures, ONESCO's supervision of Cross, compensation paid to or for the benefit of Cross, the activity in Customer TH's accounts at ONESCO, and ONESCO's books and records.

6. Robert W. Lowry, RL Consulting Services, Inc., 19228 Charandy Drive, Leesburg, Virginia 20175, (703) 737-3167, is expected to provide expert testimony regarding whether the recommendations and transactions described in the Recommendation were suitable for Customer TH and whether ONESCO failed to supervise Cross.

7. Kim Reed, Senior Examiner, and/or another employee of the Examinations Division of the Oklahoma Department of Securities, Oklahoma Department of Securities, 120 North Robinson Avenue, Suite 860, Oklahoma City, Oklahoma 73102, (405) 280-7700, c/o Terra Bonnell, is expected to testify regarding the allegations contained in the Recommendation including, but not limited to, the Department's registration records relating to Respondents, the Department's requests for information from Respondents, the documents Respondents provided to the Department, the unsuitable nature of the alleged recommendations by Cross, and ONESCO's failure to supervise Cross.

8. Brenda London, Paralegal, Oklahoma Department of Securities, 120 North Robinson Avenue, Suite 860, Oklahoma City, Oklahoma 73102, (405) 280-7700, c/o Terra Bonnell, is expected to testify in her capacity as the custodian of certain records of the Department, if necessary to establish admissibility.

9. All witnesses necessary to rebut evidence offered by Respondents at hearing.

10. All witnesses identified on Respondents' final witness lists, or called at hearing by Respondents, and not objected to by the Department.



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Robert Fagnant

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the 3<sup>rd</sup> day of August, 2012, a true and correct copy of the above and foregoing *Department's Final List of Witnesses* was emailed and mailed by first-class mail with postage prepaid thereon, to the following:

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**ATTORNEY FOR ONESCO**

  
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