

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
FIRST NATIONAL CENTER, SUITE 860
120 NORTH ROBINSON
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

David B. Auer (CRD No. 1495370),

Respondent.

ODS File No. 07-055

ORDER SETTING HEARING

The Enforcement Division of the Oklahoma Department of Securities (“Department”) filed an *Enforcement Division Recommendation* against David B. Auer (“Respondent”) with the Administrator of the Department (“Administrator”) on May 27, 2009 (“Recommendation”). In the Recommendation, the Enforcement Division recommended the imposition of sanction(s) against Respondent for violating Section 1-406 of the Oklahoma Uniform Securities Act of 2004 (“Act”), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (Supp. 2004). Respondent filed an answer and request for hearing on June 9, 2009.

IT IS HEREBY ORDERED that pursuant to Section 1-411 of the Act, a hearing shall commence at **9:00 a.m. on Thursday, September 10, 2009**, at the offices of the Department, First National Center, Suite 860, 120 North Robinson Avenue, Oklahoma City, Oklahoma, to determine whether Respondent has violated the Act and/or the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities and, if so, the appropriate sanction(s) to be imposed.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 13th day of July, 2009.

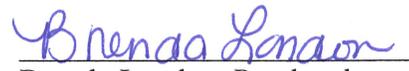
(SEAL)


IRVING L. FAUGHT, ADMINISTRATOR OF THE
OKLAHOMA DEPARTMENT OF SECURITIES

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the **13th** day of July, 2009, a true and correct copy of the above and foregoing *Order Setting Hearing*, was sent by first-class mail with postage prepaid thereon, addressed to:

Mr. David B. Auer
4906 E. 114th Place
Tulsa, OK 74137


Brenda London, Paralegal