

STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
FIRST NATIONAL CENTER, SUITE 860  
120 NORTH ROBINSON  
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Jim J. Hammons,

Respondent.

ODS File 14-017

**ORDER SETTING HEARING**

**WHEREAS**, On April 29, 2014, the Enforcement Division of the Oklahoma Department of Securities ("Department") submitted to the Administrator of the Department ("Administrator") an *Enforcement Division Recommendation* ("Recommendation") in support of the imposition of sanctions on Jim J. Hammons ("Respondent"), pursuant to Section 1-411 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011), for violations of Sections 1-501 and 1-502 of the Act and 660:11-7-42 of the Rules of the Oklahoma Securities Commission and the Administrator of the Oklahoma Department of Securities ("Rules"), Okla. Admin. Code §§ 660:1-1-1 through 660:25-7-1.

**WHEREAS**, on April 30, 2014, the Administrator issued to Respondent a *Notice of Opportunity for Hearing* ("Notice") and a *Notice of Service on the Administrator and Affidavit of Compliance* ("Affidavit").

**WHEREAS**, on April 30, 2014, copies of the Affidavit and the Notice, with a copy of the Recommendation attached to the Notice, were sent by certified mail, return receipt requested, delivery restricted to addressee, to the last known address of Respondent, in compliance with Section 1-611 of the Act.

**WHEREAS**, Respondent requested two extensions of time to file his answer to the Recommendation and to request a hearing. The deadline for the filing of an answer and request for hearing was finally extended to June 30, 2014.

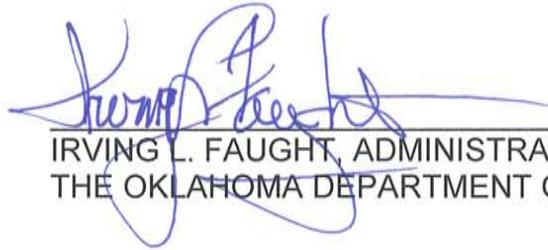
**WHEREAS**, on June 30, 2014, Respondent filed an answer and requested a hearing.

**NOW THEREFORE, IT IS HEREBY ORDERED** that, pursuant to 660:2-9-2 of the Rules and Section 1-411 of the Act, a hearing on the merits of the Recommendation shall commence at **10:00 a.m. on October 23, 2014**, in the offices of the Oklahoma

Department of Securities, First National Center, Suite 860, 120 North Robinson Avenue,  
Oklahoma City, Oklahoma.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities  
this 9th day of July, 2014.

(SEAL)



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IRVING L. FAUGHT, ADMINISTRATOR OF  
THE OKLAHOMA DEPARTMENT OF SECURITIES

**CERTIFICATE OF MAILING**

The undersigned hereby certifies that on the 9th day of July, 2014, a true and correct copy of the above and foregoing *Order Setting Hearing* was mailed with postage prepaid thereon, addressed to:

Mr. Jim J. Hammons  
9934 S. 68<sup>th</sup> E. Ave.  
Tulsa, OK 74133

**Respondent**

  
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Brenda London, Paralegal