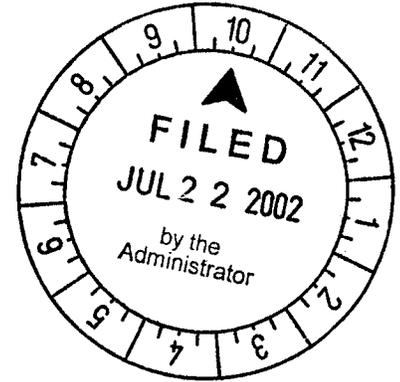


STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
FIRST NATIONAL CENTER, SUITE 860  
120 NORTH ROBINSON  
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Stephanie Ann Scott

Respondent.

File No. ODS 02-193

**ORDER BARRING FROM ASSOCIATION  
WITH A BROKER-DEALER OR INVESTMENT ADVISER**

Pursuant to the Oklahoma Securities Act (Act), Okla. Stat. tit. 71, §§ 1-413, 501, 701-703 (2001), an investigation was conducted into the activities of Stephanie Ann Scott (Scott), in connection with the offer and/or sale of securities in and/or from the state of Oklahoma.

The Administrator (Administrator) of the Oklahoma Department of Securities (Department), being fully advised in this matter, does hereby adopt the Findings of Fact and Conclusions of Law as set forth below.

**Findings of Fact**

1. Scott, a resident of Oklahoma City, Oklahoma, was registered under the Act as an agent of a broker-dealer, Banc One Securities Corporation (Banc One Securities), beginning on March 8, 1999.

2. According to the records of the Central Registration Depository System (CRD), Scott terminated her most recent registration as an agent of Banc One Securities Corporation on September 13, 2000. Scott is not currently registered under the Act.

3. On March 26, 2002, according to the records of the CRD, the National Association of Securities Dealers Regulation, Inc. (NASDR) barred Scott from association with any member of the National Association of Securities Dealers (NASD) in any capacity. The NASDR found as follows:

Scott issued two cashier's checks to herself for \$260.00 each. In order to fund these checks, Scott executed an advance in the amount of \$520.00 on the line of credit of a public customer. Scott negotiated one of the checks and destroyed the second one prior to negotiation. At or about the

same time, Scott debited the general ledger account of Banc One Securities in the amount of \$100.00 on two occasions for her own use and benefit. All of these transactions were done without the knowledge or consent of Banc One Securities. Scott also failed to respond to the NASD's request for information.

4. Based upon the foregoing, it is in the public interest to bar Scott from association with a broker-dealer or investment adviser subject to the provisions of the Act.

To the extent any of these Findings of Fact are more properly characterized as Conclusions of Law, they should be so considered.

Conclusions of Law

1. Scott is the subject of an order by the NASD barring her from membership in said organization.

2. The Administrator is authorized to impose any sanction set forth in Section 406 of the Act. Based thereon, the Administrator is authorized to issue an order to bar Scott from association with a broker-dealer or investment adviser subject to the provisions of the Act.

3. It is in the public interest to issue an order to bar Scott from association with a broker-dealer or investment adviser subject to the provisions of the Act.

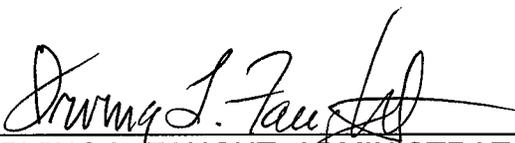
To the extent any of these Conclusions of Law are more properly characterized as Findings of Fact, they should be so considered.

Order

IT IS HEREBY ORDERED that ~~scott~~ is barred from association with a broker-dealer or investment adviser pursuant to Section 406 of the Act.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 22nd day of July, 2002.

(SEAL)

  
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IRVING L. FAUGHT, ADMINISTRATOR  
OF THE OKLAHOMA DEPARTMENT OF SECURITIES

CERTIFICATE OF MAILING

The undersigned hereby certifies that on the 22nd day of July, 2002, a true and correct copy of the above and foregoing Order Barring from Association with a Broker-Dealer or Investment Adviser was mailed by certified mail, return receipt requested, delivery restricted, with postage prepaid thereon addressed to:

Stephanie Ann Scott  
5013 N. Steanson Drive  
Oklahoma City, OK 73112

  
\_\_\_\_\_  
Brenda London Smith  
Paralegal