



STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
REGULARLY SCHEDULED MEETING AGENDA
Oklahoma Securities Commission
204 North Robinson, Suite 400 Conference Room
Oklahoma City, Oklahoma

May 16, 2019

10:00 a.m.

- I. Call to Order
- II. Acceptance of Minutes for the March 21, 2019 regularly scheduled meeting
- III. Old Business
- IV. Administrator's Report
 - A. Presentation and discussion of the financial report through April 30, 2019
 - B. Presentation and discussion of the reports on the enforcement activities of the Department during the months of March and April 2019
 - C. Presentation and discussion of the reports on the securities registration and exemption activities of the Department as of April 30, 2019
 - D. Presentation and discussion of the reports on the firms and professionals registration activities of the Department during the months of March and April 2019
 - E. Presentation and discussion of the reports on the on-site examinations for the months of March and April 2019
 - F. Presentation and discussion of investor education activities
 - G. Presentation and discussion of proposed, pending or final state and/or federal legislation or policies affecting operations of the Department of Securities
- V. Discussion of Commission and Department Objectives
- VI. Election of Chairperson and Vice-Chairperson for one year term beginning July 1, 2019 and ending June 30, 2020
- VII. New Business
- VIII. Adjournment